
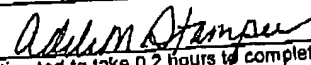


PTO/SB/21 (08-00)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/899,466
	Filing Date	October 31, 2000
	First Named Inventor	Shunpei YAMAZAKI et al.
	Group Art Unit	2829
	Examiner Name	A. Sarkar
	Attorney Docket Number	0756-2222
Total Number of Pages in This Submission		

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures 1. RCE 2. 3. 4. 5. 6.
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	June 23, 2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.		
Type or printed name	Adele M. Stamper	Date
Signature		June 23, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
1160.00

Complete if Known

Application Number 09/699,466  
Filing Date October 31, 2000  
First Named Inventor Shunpei YAMAZAKI et al.  
Examiner Name A. Sarkar  
Group Art Unit 2829  
Attorney Docket No. 0756-2222

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2280

Deposit Account Name Robinson Intellectual Property Law Office

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	750	201	375	Utility filing fee	
106	330	206	165	Design filing fee	
107	520	207	260	Plant filing fee	
108	750	208	375	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =

Independent Claims  -3\*\* =  X  =

Multiple Dependent  =

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	410	200	205	Extension for reply within second month	\$410.00
117	930	460	465	Extension for reply within third month	
118	1,450	720	725	Extension for reply within fourth month	
128	1,970	228	985	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.29(b))	\$750.00
179	750	279	375	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
1160.00

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450, on June 23, 2003.

*Adrian M. Stamps*

### SUBMITTED BY

Name (Print/Type) Eric J. Robinson

Signature

Registration No. (Attorney/Agent)

38,285

Complete (if applicable)

Telephone (571) 434-6789

Date

June 23, 2003

**ROBINSON INTELLECTUAL PROPERTY LAW OFFICE**

PATENTS · TRADEMARKS · COPYRIGHTS

PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165

September 11, 2003

571-434-6789 (Voice)  
571-434-9499 (G3 Facsimile)  
[erobinson@riplo.com](mailto:erobinson@riplo.com)  
[www.riplo.com](http://www.riplo.com)

**FACSIMILE COVER SHEET**

To:	Ex. A. Sakkar	From:	Eric J. Robinson
Facsimile Number:	703-746-3860	Telephone No.	
Number of Pages (including cover sheet):	29		
<p>Re: U.S. Patent Application Serial No. 09/699,466 For: Method for Fabricating a Semiconductor Device Our Reference: 0756-2222</p> <p>Pursuant to your recent request, attached please find a copy of the RCE, Amendment, Petition for Extension of time, and Information Disclosure Statement that were filed in the U.S.P.T.O. on June 23, 2003. A copy of the PTO stamped receipt card is also attached.</p>			

This will acknowledge receipt of the following:

1. Transmittal Form/Fee Transmittal (2 copies)
2. RCE (2 copies)
3. Amendment
4. Petition for Extension of Time (2 copies)
5. Check No. 2131 in the amount of \$1160.00 (750 rec; 410 2ect)
6. Information Disclosure Statement
7. PTO Form-1449 with copies of references listed thereon
8. Certificates of Mailing for each of the above

In re PATENT application of:

SHUNPEI YAMAZAKI et al

Serial No. 09/699,466

Filed: 10/31/2000

Due Date: 06/22/2003

For: METHOD FOR FABRICATING A SEMICONDUCTOR DEVICE

Docket: 740756-002222

June 23, 2003

EJR/EJR/ams



PAID  
JUN 30 2003  
PAID 6/30/03